

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE
(REV. 2-2005)

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

16111US

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

To be assigned **10/540098**

INTERNATIONAL APPLICATION NO.

PCT/EP2003/012948

INTERNATIONAL FILING DATE

19 November 2003

PRIORITY DATE CLAIMED

19 December 2002

TITLE OF INVENTION

PROCESS FOR MANUFACTURING RETROREFLECTIVE PRINTED MATERIAL

APPLICANT(S) FOR DO/EO/US

PARISI, Agostino; BARTOLI, Cesare

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☒ A substitute specification.
18. ☒ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. **EV 629340965 US**
23. ☒ Other items or information:

Return Receipt Postcard

Copy of PCT Request from parent application (7 pages)

Copy of Notification of Receipt of Record Copy

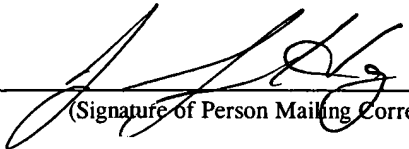
Copy of Notification Concerning Submission or Transmittal of Priority Document

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.52(e)) 10/540098 <i>Not assigned</i>		INTERNATIONAL APPLICATION NO. PCT/EP2003/012948		ATTORNEY'S DOCKET NUMBER 16111US	
The following fees are submitted:				CALCULATIONS	PTO USE
24. <input checked="" type="checkbox"/> Basic national fee		\$300	\$	\$300.00	
25. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4).		\$100	\$	\$200.00	
All other situations.		\$200			
26. <input checked="" type="checkbox"/> Search fee					
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority		\$100			
International Search Report prepared and provided to the Office		\$400			
All other situations.		\$500	\$	\$400.00	
TOTAL OF 24, 25 and 26 =			\$	\$900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE		
13 - 100 =	0 /50 =	0	x \$250.00	\$	\$0.00
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	26 - 20 =	6	x \$50.00	\$	\$300.00
Independent claims	2 - 3 =	0	x \$200.00	\$	\$0.00
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00				\$	\$0.00
TOTAL OF ABOVE CALCULATIONS =				\$	\$1,200.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	\$600.00
SUBTOTAL =				\$	\$600.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	\$0.00
TOTAL NATIONAL FEE =				\$	\$600.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$	\$0.00
TOTAL FEES ENCLOSED =				\$	\$600.00
				Amount to be	\$
				Amount to be	\$
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 19-2090 in the amount of \$600.00 to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-2090. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
<p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <p>David A. Farah, M.D. SHELDON & MAK PC 225 South Lake Ave., Suite 900 Pasadena, CA 91101 Tel.: (626) 796-4000 Fax: (626) 795-6321</p>					
				<p><i>David A. Farah</i> SIGNATURE David A. FARAH, M.D. NAME 38,134 REGISTRATION NUMBER</p>	

10/540098

JC17 Rec'd PCT/PTO 20 JUN 2005

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No. 16111US	
International Application No. PCT/EP2003/012948	International Filing Date 19 November 2003	Priority Claimed 19 December 2002		
Application Serial No. To be assigned	Filing Date June 20, 2005	Examiner To be assigned	Group Art Unit To be assigned	
Applicant/Inventor(s): PARISI, Agostino, et al.				
Invention: PROCESS FOR MANUFACTURING RETROREFLECTIVE PRINTED MATERIAL				
<p>I hereby certify that the following correspondence:</p> <ol style="list-style-type: none">1) Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (Small Entity) (2 pages)2) United States National Phase Patent Application (16 pages Specification, 3 pages Claims, 1 page Abstract)3) 4 Sheets of Drawings (Figures 1-6)4) Letter to Examiner (1 page)5) Combined Declaration and Power of Attorney by Applicant/Inventor PARISI, Agostino; BARTOLI, Cesare (3 pages)6) Power of Attorney by Assignee INDUSTRIA BERGAMASCA RIFRANGENTI S.R.L.7) Transmittal of Information Disclosure Statement Under 37 CFR 1.97(b) or 1.97(c) (1 page)8) Information Disclosure Statement by Applicant (5 page) and copies the of three Published PCT Cited References9) Copy of Published International Application No. WO 2004/057100A1 with International Search Report (25 pages)10) Copy of PCT Request from Parent Application (7 pages)11) Copy of Notification of Receipt of Record Copy12) Copy of Notification Concerning Submission or Transmittal of Priority Document13) Return Receipt Postcard <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: <u>June 20, 2005</u> (Date)</p> <p><u>Jennifer Hargis</u> (Typed or Printed Name of Person Mailing Paper or Fee)</p> <p> (Signature of Person Mailing Correspondence)</p> <p><u>EV629340965US</u> (“Express Mail” Mailing Label No. EV 629340965 US)</p>				

10/540098

JC17 Rec'd PCT/PTO 20 JUN 2005
16111US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Group Art Unit: to be assigned
)	
PARISI, Agostino et al.)	Examining Attorney: to be assigned
)	
Application Serial No.: To be assigned)	
Filed: June 20, 2005)	
)	
International Application No.)	
PCT/EP2003/012948)	
International Filing Date: 19 November 2003)	
)	
For: Process for Manufacturing)	Pasadena, California
Retroreflective Printed Material)	

LETTER TO EXAMINER

Mail Stop PCT
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The above-identified United States patent application attached hereto, which is a national phase filing of International Patent Application No. PCT/EP2003/012948 has been revised as follows:

- 1) a Cross-Reference to Related Application section added;
- 2) the spelling has been changed to American English from British English, and the grammar revised to standard American English grammar;
- 3) the citation for United States Patent 4,763,985, which was missing the last number in the parent application, has been corrected;
- 4) the format of the listings of the United States Patents has been changed to include the first inventor's names;

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PATENT

- 5) the application has been reformatted for United States patent practice;
- 6) the appropriate citations for the trademarks disclosed have been revised to indicate whether they are registered in the United States;
- 7) new figures have been prepared to replace the hand drawn figures in the parent application and to add some reference numbers for elements from the original disclosure; and
- 8) the claim set has been revised.

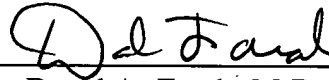
No new matter has been added by these revisions.

Respectfully submitted,

SHELDON & MAK PC

Date: June 20, 2005

By



David A. Farah, M.D.

Reg. No. 38,134

Customer No. 23676

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